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FACSIMILE COVER SHEET

Deliver to: USPTO Art Group: 2838
Facsimile No.: 703-872-9306 Date: September 15, 2004
From: William W. Schaal, Reg. No. 39,018
Our Docket No.: 42P6963R Number of pages 9, including this sheet.
Application No.: 10/678,055 Filing Date: 10/2/2003
Docket Due Date(s): 5/23/2004

Enclosed are the following documents:

- Amendment: _____ (____ pgs)
- Appeal Brief (in triplicate) (____ pgs)
- Application: _____
(____ pgs) w/cover & abstract)
- Assignment & Cover Sheet (____ pgs)
- Certificate of Facsimile
- Continued Prosecution Application (CPA)
- Declaration & POA (____ pgs)
- Drawings: ____ sheets, ____ figures
- Extension of Time: _____
- Fee Transmittal (in duplicate)
- IDS & PTO/SB/08 (3 pgs)
- Other _____
- Issue Fee Transmittal
- Notice of Appeal
- Petition for: _____
- Request for Continued Examination (RCE)
- Reply Brief (____ pgs)
- Request & Certification Under 35 USC 122(b)(2)(B)(i)
- Request to Rescind Previous Nonpublication Request
- Response to Notice of Missing Parts & Formalities Letter
- Response to Written Opinion (____ pgs)
- Terminal Disclaimer
- Transmittal of Publication Fee Due
- Transmittal Letter

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.84)

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Susan McFarlane 09/15/2004
Date

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Using 2/2004

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/678,055
Total Number of Pages in This Submission	6	Filing Date	October 2, 2003
		First Named Inventor	Ramkarthik Ganesan
		Art Unit	2838
		Examiner Name	
		Attorney Docket Number	42P6963R
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):			
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP		
Signature			
Date	September 15, 2004		

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Susan McFarlane		
Signature			
		Date	September 15, 2004

Filed on PTO/SB/021 (04-04) as received by Blakely, Sokoloff, Taylor & Zafman (writ) 08/04/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450

FEE TRANSMITTAL for FY 2004

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

0.00

Complete if Known

Application Number	10/678,055
Filing Date	October 2, 2003
First Named Inventor	Ramkardhik Ganesan
Examiner Name	
Art Unit:	2838
Attorney Docket No.	42P6963R

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit AccountDeposit Account Number **02-2666** Deposit Account Name**Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to (check all that apply)

- Charge (fees) indicated below Credit any overpayments
- Charge any additional fee(s) or underpayment of fees as required under 37 CFR § 1.16, 1.17, 1.18 and 1.20.
- Charge (fees) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

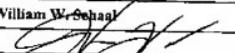
Large Entity	Small Entity	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description
1001 770	2001 346	Utility filing fee
1002 340	170	Design filing fee
1003 530	200	Plant filing fee
1004 770	2004 385	Reissue filing fee
1005 180	2005 60	Provisional filing fee
SUBTOTAL (1)		(\$)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Fee from table	Fee Paid
1	1	2d = 0 X 15.00 = \$0.00	
2	1	3d = 0 X 65.00 = \$0.00	
SUBTOTAL (2)		(\$)	0.00

*For number previously paid, if greater, for Rebate, see below

SUBMITTED BY

Name (Print/type)	William W. Schaefer
Signature	

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1051 130	2051 65	Surcharge - late filing fee or cash	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
2063 150	2053 130	Non-English specification	
1012 2,520	1812 2,830	For filing a request for ex parte reexamination	
1004 920 *	1500 920 *	Requesting publication of SIR prior to Examiner action	
1805 1,640 *	1835 1,640 *	Requesting publication of SIR after Examiner action	
1251 110	2251 65	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,008	Extension for reply within fifth month	
1404 330	2401 165	Notice of Appeal	
1405 330	2402 165	Filing a brief in support of an appeal	
1403 230	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - Undeliverable	
1453 1,330	2453 685	Petition to revive - Unimensional	
1501 1,200	2501 665	Utility issue fee (or reissue)	
1602 480	2502 240	Design issue fee	
1503 840	2503 320	Plant issue fee	
1460 130	2460 130	Portions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(d)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1899 770	1899 770	Filing a submission after final rejection (37 CFR § 1.125(b))	
1810 770	2010 355	For each additional invention to be examined (37 CFR § 1.125(b))	
1891 770	2801 365	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination or a design application	
Other fee (specify)			
*Postallowance/Refund/Refund Paid		SUBTOTAL (3)	(\$)

SUBTOTAL (3) **(\$)**

Complete if applicable

Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Date	09/15/04		

Based on PTO/SB/17 (10-02) for mailing to Blakely, Sokoloff, Taylor & Zafman (w) 02/10/2004,
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2004. Patent fees are subject to regular review.

Applicant claims small entity status. See 37 CFR 1.12.

TOTAL AMOUNT OF PAYMENT

TOTAL AMOUNT OF PAYMENT | **(\\$)**

10. The following table shows the number of hours worked by 1000 workers in a certain industry.

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> Non
<input checked="" type="checkbox"/> Deposit Account				
Deposit Account:	03-2666			

The Commission is authorized to make a report.

Are you authorized to check all that apply?

Charge fees(s) indicated below Credit any overpayments

Charge entity additional fee(s) or underpayment of fees as required under 57 CERB 54.1-16, 1-17, 1-18 and 1-20.

Charge (rate) indicated below, except for the first five

FEES CALCULATION

1. BASIC FILING FEE

FILING FEE			Fee Paid
Fee Code	Fee (\$)	Fee Code	
1001	770	2001	385
1002	340	170	Design filing fee
1003	530	200	265
1004	770	200	395
1005	180	2005	80

ESTIMATED AMOUNT

2. EXTRA CLAIM FEES

EXTRA CLAIM FEES		Extra Claim	Fee from below	Fee Paid
Total Claims		20" = 0	x 15.00	\$0.00
Independent		3" = 0	x 66.00	\$0.00
Multiple Dependents				

Large Entity	Small Entity		
	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	66	2201	45 Independent claims in excess of 3
1203	250	2203	145 Multiple Dependent claim, if not paid
1204	86	2204	43 ^a Relative independent claims over original patent

16 2206 9 "Reissue claims in excess of 20 and over
original patent

SUBTOTAL (2)	(S)	0.00
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	<i>Completes If Known</i>
Application Number	10/678,055
Filing Date	October 2, 2003
First Named Inventor	Ramkarthik Ganesan
Examiner Name	
Art Unit	2838
Attorney Docket No.	42PF69563R

FEES CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or cash	
1052	90	2052	25	Surcharge - late prepayment filing fee or cover sheet	
2053	130	2053	120	Non-English specification	
1012	2,500	1611	2,500	For filing a request for ex parte reexamination	
1604	500*	1604	920*	Requesting publication of SIR prior to Examiner action	
1605	1,640*	1605	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2281	55	Extension for reply within first month	
1252	420	2282	210	Extension for reply within second month	
1253	650	2255	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2258	1,000	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2493	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,320	2453	665	Petition to revive - unintentional	
1601	1,330	2501	605	Utility issue fee (or replacement)	
1602	490	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1490	130	2460	130	Petitions to the Commissioner	
1607	50	1907	50	Processing fee under 37 CFR 1.17(e)	
806	150	1908	160	Submission of Information Disclosure Stmt	
821	40	8021	40	Recording cash patent assignment per property (times number of properties)	
809	770	1906	385	Filing a substitution after final rejection (37 CFR § 1.12(b))	
810	770	2810	385	For each additional invention to be examined (37 CFR § 1.12(b))	
801	770	2901	365	Request for Continued Examination (RCE)	
802	900	1902	800	Request for expedited examination of a design application	

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SUBMITTED BY

SUBMITTED BY

Complete Test

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature				Date	09/15/04

Based on PTO/SB/17 (10-03) as modified by Brinkley, Bolstoff, Taylor & Zeffman (w/r) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 42P6963R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAMKARTHIK GANESAN

Application No.: 10/678,055

Filed: October 2, 2003

For: **METHOD AND APPARATUS FOR
REDUCING STRESS ACROSS
CAPACITORS USED IN INTEGRATED
CIRCUITS**

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SEP 15 2004

Art Group: 2838

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/405,977, filed September 27, 1999) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

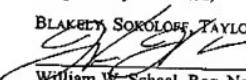
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 24, 2004


William W. Schaal, Reg. No. 39,018

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (714) 557-3800

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Susan McFarlane 05-24-04
Date

Substitute for Form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/678,055
		Filing Date	October 2, 2003
		First Named Inventor	Ramkarthik Ganesan
		Art Unit	2838
		Examiner Name	
		Attorney Docket Number	42P6963R
Sheet	1	of	1

Examiner Signature	/Rajnikant Patel/ (04/20/2008)	Date Considered	
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**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 803. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.*

⁴Applicant's unique citation designation number (optional). ⁵See *USPTO Patent Documents* at www.uspto.gov or MPEP §61.04. ⁶Enter Office that issued the patent document. ⁷Kind of document by its appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a checkmark if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Buckley, Sokoloff, Taylor & Zalman (ver. 03/11/2003)

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